

METHOD FOR PROCESSING A NUCLEIC ACID SAMPLE BY SWINGING A  
SEGMENT OF A CARTRIDGE WALL, A SYSTEM AND A CARTRIDGE FOR  
PERFORMING SUCH A METHOD

5 FIELD OF THE INVENTION

The present invention relates to a method for processing a nucleic acid sample contained in a liquid.

- 10 The invention further relates to a system for processing a nucleic acid sample contained in a liquid.

The invention further relates to a cartridge for processing a nucleic acid sample contained in a liquid.

- 15 The invention relates in particular to processing of a nucleic acid sample contained in a liquid introduced into a cartridge containing a chip shaped carrier having a biochemically active surface which is adapted to be read by  
20 an opto-electronic reading device.

BACKGROUND OF THE INVENTION

- Within the context of the instant invention and in a  
25 preferred embodiment, a chip shaped carrier is a substrate, in particular a glass chip of e.g. squared shape having a thickness of e.g. 0.7 or 1.0 millimeter and a so called active surface, which is a surface coated with an array of different snippets of DNA or other molecular probes, e.g.  
30 DNA oligonucleotide probes, located at known positions on that surface. Those probes serve for detecting DNA fragments with a complementary DNA sequence.

- Within the context of the instant invention and in a  
35 preferred embodiment the above- mentioned cartridge is in particular a cartridge made of a plastic material and used as a packaging device for packaging such a chip shaped carrier usually called DNA chip. More preferably, the

cartridge is designed as a one-way cartridge.

DNA chips contained in such cartridges have a wide range of applications. For example, they may be used for

5 understanding the structure-activity relationship between  
different biological materials or determining the DNA-  
sequence of an unknown biological material. For instance,  
the DNA-sequence of such unknown material may be determined  
by, for example, a process known as sequencing by  
10 hybridization. In one method of sequencing by hybridization,  
a sequences of diverse materials are formed at known  
locations on a surface of a chip, and a solution containing  
one or more targets to be sequenced is applied to that  
surface. The targets will bind or hybridize with only  
15 complementary sequences on the substrate. The locations at  
which hybridization occurs are detected with appropriate  
detection systems by labeling the targets with a fluorescent  
dye, radioactive isotope, enzyme, or other marker.  
Information about target sequences can be extracted from the  
20 data obtained by such detection systems.

By combining various available technologies, such as  
photolithography and fabrication techniques, substantial  
progress has been made in the fabrication and placement of  
25 diverse materials on chips of the above mentioned kind. For  
example, thousands of different sequences may be fabricated  
on a single substrate of about 1.28 square centimeter in  
only a small fraction of the time required by conventional  
methods. Such improvements make these substrates practical  
30 for use in various applications, such as biomedical  
research, clinical diagnostics, and other industrial  
markets, as well as the emerging field of genomics, which  
focuses on determining the relationship between genetic  
sequences and human physiology.

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For efficient use of a chip shaped carrier of the above  
described type it is necessary that the sample solution  
containing one or more targets to be sequenced effectively

contacts the active surface of the chip shaped carrier. Moreover, in view of the relatively large number of sample solutions to be processed, this effective contact should be achieved with high reproducibility and at low cost.

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Known prior art attempts to attain these aims require means for pumping a liquid containing a nucleic acid sample into and out a chamber of a cartridge in order to obtain the desired effective contact between the liquid containing the sample and the active surface of the chip shaped carrier. This approach is too expensive, cumbersome and requires too much working space, and can therefore not satisfy present day requirements on this kind of apparatuses.

15 A main aim of the instant invention is therefore to provide a method, a cartridge and a system which make it possible to provide effective contact of a solution processed in a cartridge of the above mentioned kind with the active surface of the chip shaped carrier and this with a high  
20 reproducibility and at low cost.

#### SUMMARY AND MAIN ADVANTAGES OF THE INVENTION

25 According to a first aspect of the invention the above aim is achieved with a method according to claim 1, with a system according to claim 2, and with a cartridge according to claim 3. Features of preferred embodiments are defined by the dependent claims.

30 The main advantages of the invention are that it makes possible to achieve the above mentioned, desirable effective contact between the sample solution and the active surface of the chip shaped carrier with high reproducibility and with simple means which in turn makes possible to achieve  
35 all this at low cost. This latter advantage becomes very important when a plurality of cartridges each containing a liquid containing a sample have to be simultaneously processed.

## SHORT DESCRIPTION OF THE DRAWINGS

A preferred embodiment of the invention is described  
5 hereinafter more in detail with reference to the  
accompanying drawings, wherein

Fig. 1 shows a schematic cross-sectional representation  
of a cartridge 42 according to the invention including the  
10 drive unit.

Fig. 2 shows an perspective exploded view of components  
of cartridge 42 showing in particular the interior of  
chamber 41 and channel 43 formed in a chip plate 52 which is  
15 part of cartridge 42.

Fig. 3 shows an perspective exploded view of components  
of cartridge 42 seen from a point of view opposite to the  
one of Fig. 2.

Fig. 4 shows a top view of the channel plate 51 of  
cartridge 42 and of channel 43 thereof.

Fig. 5 shows a diagram of the variation of the angular  
25 velocity  $\omega = d\theta/dt$  with time for the swinging movement of  
rigid wall segment 47.

Fig. 6 shows a system according to the invention for  
simultaneously handling a plurality of cartridges 42.

## DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

As schematically represented in Fig. 1, a cartridge 42  
according to the invention comprises a chamber 41 and chip  
35 shaped carrier 44.

Chip shaped carrier 44 has an active surface 45 which  
carries an array of oligonucleotides and which faces the

inner surface of a wall 46 of cartridge 42.

Chamber 41 of cartridge 42 has a narrow interior and includes a channel 43. A portion of channel 43 lies between  
5 active surface 45 of chip shaped carrier 44 and the inner surface of wall 46.

As depicted in Fig. 1 cartridge 42 comprises a channel plate 51 which comprises and essentially defines the shape of  
10 chamber 41 and channel 43, and a chip plate 52 which is adapted to receive and hold chip shaped carrier 44 at the position shown in Fig. 1 within a cavity 53 of chip plate 52.

15 When channel plate 51 and chip plate 52 are assembled together to form cartridge 42, this cartridge has an inlet which allows to introduce a predetermined volume of a liquid containing a nucleic acid sample into chamber 41 of  
20 cartridge 42 by means of a pipetting needle which is part of an automatic pipetting unit. Cartridge 42 also has an outlet which allows to remove said liquid sample from cartridge 42 if and when desired.

Chamber 41 and channel 43 are cavities comprised between an  
25 inner surface of channel plate 51 and an inner surface of chip plate 52. These inner surfaces are substantially opposite to each other.

Channel plate 51, chip plate 52 and other parts of cartridge  
30 42 are made preferably of plastic materials which are suitable manufacture by injection molding and also for carrying out the envisaged process steps for processing a liquid sample of the above mentioned kind. Such plastic materials should be chemically inert so that they cannot  
35 interfere with the processing of the samples. Moreover the material chosen for the manufacture of components of cartridge 42 should not be fluorescent, so that they cannot interfere with fluorescence measurements usually performed

after processing the liquid samples. Channel plate 51 and chip plate 52 can but must not necessarily be transparent.

5 The upper part of channel plate 51 comprises projections or  
tongues (not shown) which are integral parts of cartridge 42  
and which are so configured and dimensioned that they are  
adapted to be grasped by a suitable gripper of a transport  
device in order to transport and insert a cartridge 42 into  
a cartridge holder 56 and to remove a cartridge 42 from that  
10 cartridge holder.

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15 The process of manufacture of cartridge 42 comprises  
positioning and fixing chip shaped carrier 44 into a  
corresponding cavity 53 available in chip plate 52 by  
suitable means, and assembling together channel plate 51 and  
chip plate with carrier 44 attached to it in order to form a  
cartridge 42 ready for use, wherein the active surface 45 of  
carrier is at the above mentioned position with respect to  
channel 43. The just mentioned assembling of channel plate  
20 51 and chip plate 52 forms chamber 41 and channel 43 within  
cartridge 42.

25 The means for positioning and fixing chip shaped carrier 44  
into cavity 53 available in chip plate 52 are preferably  
those described in co-pending European patent application  
No. 00810501.7 entitled "Device for packaging a chip shaped  
carrier and process for assembling a plurality of such  
carriers" filed on June 8, 2000 by the applicant of this  
application.

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Cartridge 42 has a structure which has in particular the  
following features:

35 A rigid segment 47 of wall 46 is adapted to be swung of a  
predetermined angle back and forth about a torsion bar 59  
and with respect to an initial position at which wall  
segment 47 is coplanar with wall 46. In order to enable the  
latter swinging motion of rigid wall segment 47, this

segment is connected by elastic wall segments 48 and 49 to the remaining part of wall 46

When wall segment 47 is swung in a first sense, one end of wall segment 47 is moved towards active surface 45, and when wall segment 47 is swung in a second sense opposite to the first sense, the latter end of wall segment 47 is moved away from active surface 45. The preferred size of the predetermined swinging angle lies between six and twelve degrees. This predetermined swinging angle is measured with reference to the position of wall segment 47 at which this segment is coplanar with wall 46.

In order to perform a method according to the invention cartridge 42 is inserted and thereby positioned into a cartridge holder 56 which is represented schematically in Fig. 1.

Cartridge 42 and cartridge holder 56 are so configured that when cartridge 42 is positioned into cartridge holder 56 the active surface 45 of chip shaped carrier 44 lies in a substantially vertical plane, though the active surface 45 does not need to be vertical, it may also be inclined or even horizontal, even if these variants are expected to perform less.

In Fig. 1 the position of a vertical plane is represented by a straight line Z-Z.

Fig. 5 shows as an example a diagram of the variation of the angular velocity  $\omega = d\theta/dt$  with time which is achievable with the above described means for oscillating cartridge 42 for the case where the angle of oscillation varies between plus 12 degrees and minus 12 degrees. With the values shown in this diagram the oscillation frequency is 0.25 cycle per second and the maximal angular velocity is about 0.2 rad per second or 11.5 degrees per second. A cartridge oscillation according to the diagram of Fig. 5 is used for instance

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during the sample hybridization step described hereinafter.  
For the sample rinse step described hereinafter the  
variation of the angular velocity of oscillation with time  
has a similar shape as in Fig. 5, but the oscillation  
5 frequency is e.g. of 0.4 cycle per second.

In a preferred embodiment, the function angular velocity  
vs. time differs from the one shown by Fig. 5 and has  
approximately a sinusoidal shape in order that the movement  
10 parameters (location, velocity, acceleration) vary  
substantially smoothly.

A system according to a second aspect of the invention  
comprises a cartridge 42 and a cartridge holder 56 having  
15 the above described features and comprises in addition means  
for swinging the above mentioned segment of wall 46 of a  
predetermined angle back and forth around a torsion bar 59  
in order to cause relative motion of the liquid sample  
contained in channel 43 with respect to active surface 45 of  
20 chip shaped carrier 44. The means for swinging wall segment  
47 comprise e.g. a step motor 63 and suitable drive means  
(belt 64 and pulleys 65 and 66) connecting this motor 63 to  
wall segment 47.

25 Fig. 2 shows in particular channel 43, rigid segment 47 of  
wall 46, torsion bar 59.

Channel plate 51 is a two-component part made by injection  
molding which is composed of a hard channel plate and a soft  
30 thermoplastic material, e.g. an elastomer which has several  
functions as part of cartridge 42. Plugs 62 and 63 seal and  
thereby separate channel 43 from its environment. Plug 62 is  
pierced by a first hollow needle for introducing or removing  
a liquid into channel 43. During such steps plug 63 is also  
35 pierced by a second hollow needle for venting channel 43.  
Plugs 62 and 63 effectively seal channel 43 even after being  
pierced several times by the hollow needles.



Elastic segments 48 and 49 of wall 46 are the portions of the elastomer material which undergo the largest deformation during use of the cartridge.

- 5 Chip plate 52 is also made by injection molding, and is preferably as well a two-component part. Cavity 53 of chip plate 52 is filled by chip shaped carrier 44 (not shown).

Fig. 3 shows an perspective exploded view of components of cartridge 42 seen from a point of view opposite to the one of Fig. 2. Fig. 3 shows in particular torsion bar 59 about which rigid segment 47 of wall 46 is swung back and forth e.g. of an angle of plus/minus 12 degrees. The soft plastic component of channel plate 51 is not shown by Fig. 3.

15 The top view shown by Fig. 4 shows particularly well plugs 62 and 63.

A method for processing a nucleic acid sample contained in a liquid according to a second aspect of the invention can be carried out with the means described in this Example 2 and comprises the following steps:

(a) introducing a liquid containing a nucleic acid sample into chamber 41 of cartridge 42 and thereby into channel 43 of chamber 41,

(b) positioning cartridge 42 into cartridge holder 56 in such a way that active surface 45 of chip shaped carrier 44 lies in a substantially vertical plane, this positioning of cartridge 42 into cartridge holder 56 being effected before or after introduction of the liquid containing a sample into chamber 41, and

(c) swinging the above mentioned segment 47 of wall 46 of a predetermined angle back and forth around a torsion bar in order to cause relative motion of the liquid containing a sample contained in channel 43 with respect to active

surface 45 of chip shaped carrier 44.

The latter swinging of wall segment causes a forced flow of fluid within channel 43 and generates a flow which provides a mixing effect which is advisable for the hybridizing step described hereinafter. Moreover the shape of chamber 41 and channel 43 are such that the entire active surface 45 is uniformly contacted by the liquid containing a sample.

According to a preferred embodiment of the invention a method of the type just described is carried out simultaneously on a plurality of cartridges by means of a system according to the invention adapted for that purpose as shown by Fig. 6.

A typical use of a method, cartridge and system according to the invention is for carrying out process steps of a so called post PCR processing of a liquid containing a nucleic acid sample which has been amplified by means of a PCR method or the like.

Such post PCR processing carried out using cartridge 42 includes in general terms the following steps: introducing liquid into chamber 41 and into channel 43 of cartridge 42 at some points of time and withdrawing liquid from chamber 41 and channel 43 of cartridge 42 at other points of time, repeating this steps several times, and heating and cooling cartridge 42 during predetermined time intervals according to predetermined temperature profiles, e.g. in a temperature range between zero and seventy degrees Celsius. The liquid containing the nucleic acid sample being one of the liquids introduced into and withdrawn from cartridge or 42, another type of liquid handled in this way as part of the method being e.g. buffer liquid used for rinsing chamber 41 and channel 43 during rinsing steps mentioned hereinafter.

More in detail a post PCR processing of an amplified nucleic acid sample using the devices described above comprises e.g. the following steps:

5 1) Introduction of the liquid containing an amplified nucleic acid sample into the cartridge

This liquid is introduced into cartridge 42 through an inlet thereof and by means of the pipetting needle of an automatic pipetting unit.

10 2) Sample hybridization

During an hybridization step by means of heat transfer the temperature of the cartridge is maintained at a predetermined level. Over the whole duration of this step, which takes between 30 and 60 minutes, a relative movement of the liquid containing a sample with respect to the active surface of the chip shaped carrier and thereby a flow of that liquid over that surface is effected by the means described above. In connection with this step it is important to note that the chamber and the channel within the cartridge are so configured that a uniform distribution of the liquid over the active surface of the chip shaped carrier is achieved.

25 3) Sample rinse

In a first washing step (rinse) the interior of cartridge 42 is rinsed with a washing buffer which flows into the cartridge thorough an inlet thereof and leaves it through an outlet thereof. This step is repeated up to ten times.

4) Rinse incubation

This step serves for stabilizing the processing of the liquid containing a sample contained in the cartridge. During this step which takes about 15 minutes, the liquid sample is kept at a lower temperature level than during the hybridization step and is moved with respect to the active surface of the chip shaped carrier in the same way as during

the hybridization step.

5) Stain hybridization

In this step a fluorescent solution is added to the liquid containing a sample contained in the cartridge in order that individual fluorescing molecules can get attached to DNA fragments. During this step the cartridge is kept again at a higher temperature level.

6) Stain rinse

In this step remaining free fluorescing molecules are washed out of the cartridge by means injecting a washing buffer through an inlet of the cartridge at a suitable first position thereof and changing the position cartridge to a second position at which liquid carrying those free fluorescing molecules is withdrawn from the cartridge through an outlet thereof. This step is repeated up to ten times.

7) Detection

After step 6) the sample is bound to the active surface of chip shaped carrier 44, this surface is flooded with a sample-free buffer, and the cartridge containing the liquid containing a sample is transferred by suitable transport means which include a gripper to a detection unit, where the surface of the active surface of chip shaped carrier is scanned with a laser beam and fluorescent light emerging from said active surface in response to that excitation is measured by means of suitable instrument. In order that this detection can be performed the cartridge has an opening through which the chip shaped carrier and the active surface thereof are accessible to opto-electronic examination.

List of reference numbers

- 41 chamber  
42 cartridge  
5 43 channel  
44 chip shaped carrier of an array of oligonucleotides  
45 active surface of carrier 44  
46 wall of channel plate 51  
47 rigid segment of wall 46  
10 48 elastic segment of wall 46  
49 elastic segment of wall 46  
51 channel plate  
52 chip plate  
53 cavity of chip plate  
15 56 cartridge holder  
59 torsion bar  
60 inlet/outlet  
61 air exchange opening  
62 plug  
20 63 step motor  
64 belt  
65 pulley  
66 pulley  
Z-Z a vertical straight line  
25  
Modifications and alternative embodiments of the invention  
will be apparent to those skilled in the art in view of the  
foregoing description. Accordingly, this description is to  
be construed as illustrative only and is for the purpose of  
30 teaching those skilled in the art the best mode of carrying  
out the invention. Details of the apparatus and of the  
method described may be varied without departing from the  
spirit of the invention and the exclusive use of all  
modifications which come within the scope of the appended  
35 claims is reserved.

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